

Substitute for form 1449/APTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/573,042
Filing Date	03-22-2006
First Named Inventor	Yamashita et al.
Group Art Unit	3749
Examiner Name	Helena Kosanovic
Attorney Docket Number	DK-US030515

Sheet	1	of	1
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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	/Helena Kosanovic/ (04/21/2009)	Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Appointer's unique machine designation number (optional). <sup>4</sup> See kind codes at [www.wipo.int/patentdocs/en/standards/standards.html](http://www.wipo.int/patentdocs/en/standards/standards.html). <sup>5</sup> For patent documents at [www.wipo.int/patentdocs/en/standards/standards.html](http://www.wipo.int/patentdocs/en/standards/standards.html). <sup>6</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>7</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

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Substitute for form 1449A/PTO

**Complete if Known**

*(use as many sheets as necessary)*

Sheet	1	of	1
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Application Number	10/573,042
Filing Date	03-22-2006
First Named Inventor	Tetsuya Yamashita
Group Art Unit	3749
Examiner Name	Helena Kosanovic
Attorney Docket Number	DK-US030515

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/Helena Kosanovic/ (04/21/2009)

Date  
Considered

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	1
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**Complete if Known**

Application Number	10/573,042
Filing Date	March 22, 2006
First Named Inventor	Tetsuya YAMASHITA
Art Unit	3749
Examiner Name	
Attorney Docket Number	DK-US030515

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		KR-0127611-Y1	07-31-1997			
		KR-20-0312142	05-09-2003			

Examiner Signature	/Helena Kosanovic/ (04/21/2009)	Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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Substitute for form 1449A/PTO

IAPG RELEASED TO 22 MAR 2006  
 Nat Phase of PCH/JP 2004/013152

*(use as many sheets as necessary)*

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Not a base of PCT/JF 2004/013152

International Date of September 9, 2004

Tetsuya YAMASHITA et al.

**DK-US030515**

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )				
		EP-1271065-A	01/02/2003	LEE et al.		N/A
		JP-2003-014249-A	01/15/2003	LEE et al.		Yes
		JP-9-210402-A	08/12/1997	FUJIMORI et al.		Yes
		JP-10-109872-A	04/28/1998	KIMURA et al.		Yes
		JP-10-217876-A	08/18/1998	YAMAGUCHI et al.		Yes
		JP-11-345650-A	12/14/1999	KOGURE		Yes
		JP-3-6232-U	01/22/1991	TOBITA		No
		JP-4-20923-U	02/21/1992	ISHIZUKA		No
		JP-3-97113-U	10/04/1991	HIDESAKA		No
		JP-7-12821-U	01/17/1995	SHIGENARI		Yes
		WO-02-103248	12/27/2002	LEE et al.		N/A

**Considered**

Enter the serial number of the document, by the International Union of Pure and Applied Chemistry (IUPAC) or the International Patent Union (IPI), that precedes the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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10/573042

APG Rec'd PCT/PTO 22 MAR 2005  
PATENT

DK-US030515

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
Tetsuya YAMASHITA et al. :  
Serial No.: New – (National Phase of :  
PCT/JP2004/013152) :  
International filing date: September 9, 2004 :  
For: INDOOR UNIT OF AIR CONDITIONER :

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

In accordance with MPEP 609 and 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants bring the references listed on the attached PTO-1449-Form to the Examiner's attention and request that they be considered and made of record in this application. Copies of the non-U.S. patents are attached.

Japanese Utility Model Publication No. 3-6232 appears to disclose an indoor unit of an air conditioner having a sliding panel in the front.

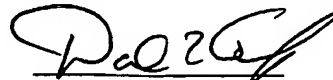
Japanese Utility Model Publication No. 4-20923 appears to disclose a panel structure for an air conditioner having both an air inlet and outlet in the front face.

Japanese Utility Model Publication No. 3-97113 appears to disclose a panel air conditioner having an air inlet on the top.

\* \* \*

Prompt examination on the merits is respectfully requested.

Respectfully submitted,

  
David L. Tarnoff  
Reg. No. 32,383

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Dated: 3-22-06  
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